

INDIVIDUAL TAX RESIDENCY SELF-CERTIFICATION FORM

HEARTLAND
BANK

Name of Account Holder

Title

Please fill in your personal details below or ☐ tick here if you have completed an open for deposits application and proceed to the next section.

NB If applying for AIL or NWRT please provide an overseas address.

Surname or Family name

First or Given name

Middle name/s

Current residential address: Number

Street

Suburb

City

Post code

Country

Mailing address (if different): Number

Street

PO Box No.

Suburb

City

Post code

Country

Date of Birth

Please complete the following table indicating:

I. Where you are tax resident

II. Your Taxpayer Identification Number (TIN, or functional equivalent for each country indicated.)

III. The tax rate to apply Non Resident Withholding Tax (NRWT) or Approved Issuer Levy (AIL)

If you are tax resident in more than three countries please use a separate sheet.

If a TIN is unavailable please use one of the Reason Codes below.

- Reason Code A The country where the Individual is tax resident does not issue TINs to its residents.
- Reason Code B The Individual is unable to obtain a TIN or functional equivalent.
- Reason Code C No TIN is required as the country where the Individual is tax resident does not require the TIN to be disclosed.

Country of tax residence

TIN

Tick if NRWT applies

Tick if AIL applies

If no TIN, Reason Code

1				
2				
3				

if US citizen/tax resident please state place of birth

If you selected Reason Code B above please explain why you are unable to obtain a TIN.

1
2
3

DECLARATIONS AND SIGNATURE

I understand that the information supplied by me is covered by the Account and Service General Terms and Conditions governing my relationship with Heartland and sets out how Heartland may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding myself may be provided to the Inland Revenue Department and exchanged with tax authorities of another country or countries in which I am a tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.

I certify that where I have provided information regarding any other person (such as a Controlling Person or other Reportable Person to which this form relates) that I will, within 30 days of signing this form, notify those persons that I have provided such information to Heartland and that such information may be provided to the Inland Revenue Department and exchanged with tax authorities of another country or countries in which I am tax resident pursuant to intergovernmental agreements to exchange financial account information.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise Heartland within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide Heartland with a suitably updated self-certification and Declaration within 90 days of such change in circumstances.

Signature

Print name

Date

Note: If you are not the Account Holder please indicate the capacity in which you are signing the form.

If signing under a power of attorney please also attach a certified copy of the power of attorney.

Capacity

BANK USE ONLY

☐ System changes completed ☐ Scanned and uploaded to customer's file Prepared by _____ Checked by _____